



2823 #3  
04.16.2

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

John Whitman et al.

**Serial No.:** 09/996,423

**Filed:** November 28, 2001

**For:** SPIN COATING FOR MAXIMUM FILL  
CHARACTERISTIC YIELDING A  
PLANARIZED THIN FILM SURFACE

**Examiner:** Unknown

**Group Art Unit:** 2823

**Attorney Docket No.:** 4294.3US (98-1208.3)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

April 3, 2002  
Date of Deposit

Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Deidra J. Pfeil  
Typed/printed name of person whose signature is contained above

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 or PTO/SB/08A be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a). The listed documents were cited by the Office in co-pending application Serial No. 09/542,783, filed on April 4, 2000, and directed to a related invention.

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as

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Application Serial No. 09/996,423

defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56(b) exists.

DOCUMENTS

U.S. Patent Documents

<u>U.S. Patent No.</u>	<u>Issue Date</u>	<u>Inventor</u>
5,238,878	08/1993	Shinohara
5,677,001	10/1997	Wang et al.
6,046,083	04/2000	Lin et al.
6,117,486	09/2000	Yoshihara
6,278,153	08/2001	Kikuchi et al.
6,326,282	12/2001	Park et al.

Applicants offer to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

This Supplemental Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits, and therefore no fee is due.

Respectfully submitted,

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Enclosures: Form PTO-1449 or PTO/SB/08A  
Copy of documents cited

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